



IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Kurt E. Spears

Confirmation No.: 1678

Application No.: 09/938,033

Examiner: Sharlene Leurig

Filing Date: August 22, 2001

Group Art Unit: 2879

Title: Lamp Tube Having a Uniform Lighting Profile and a Manufacturing Method Therefor

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- () under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- (X) under 37 CFR 1.97(c) together with either a:
(X) Statement under 37 CFR 1.97(e), or
() a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- () under 37 CFR 1.97(d) together with a:
() Statement under 37 CFR 1.97(e)(1) or (2), and
() a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

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Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

- (X) I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: August 5, 2003

OR

- () I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number _____ on _____ Number of pages: _____

Typed Name: Mikki R. Colvin

Signature: Mikki R. Colvin

Respectfully submitted,

Kurt E. Spears

By

Steven T. McDonald

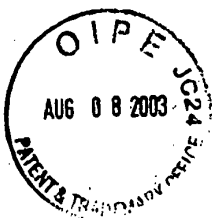
Attorney/Agent for Applicant(s)
Reg. No. **45,999**

Date: **August 5, 2003**

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STATEMENT UNDER 37 CFR 1.97(e)

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The undersigned hereby certifies that either:

- (X) Each item of information contained in the Information Disclosure Statement was cited for the first time in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- () No item of information contained in the Information Disclosure Statement
- was cited in a communication from a foreign patent office in a counterpart foreign application, and
 - to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Kurt E. Spears

By

Steven T. McDonald

Attorney/Agent for Applicant(s)

Reg. No. 45,999

Date: August 5, 2003

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PATENT APPLICATION

Sheet 1 of 1

FORM PTO-1449

ATTY. DOCKET NO.

10011893-1

APPLICATION NO.

09/938,033

CONFIRMATION NO.

1678

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

APPLICANT

Kurt E. Spears

FILING DATE

August 22, 2001

GROUP

2879

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A			
	1B			
	1C			
	1D			
	1E			
	1F			
	1G			
	1H			
	1I			
	1J			
	1K			

FOREIGN PATENT DOCUMENTS

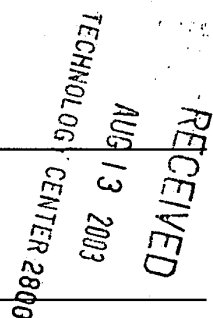
	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L EP0735570A2	10/02/1996	General Electric Com		
	1M JP090293484A	11/11/1997	West Electric Co		
	1N JP010120756A	05/12/1989	Tokyo Shibaura Elect		
	1O JP560059426A	05/22/1981	Tokyo Shibaura Elect		
	1P JP2001023518	01/26/2001	Matsushita Electric		

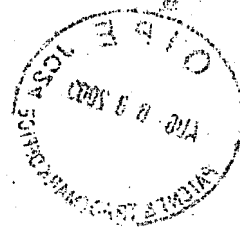
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	
1R	
1S	

EXAMINER

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